

522 Rec'd PCT/PTO 1 6 NOV 1999

FORM PTO-1390 (REV 10-94)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET #: 3245-704PUS
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				
				U.S. APPLICATION NO. (If known, see 37 CFR 1.55) 09/423911
INTERNATIONAL APPLICATION NO. PCT/DE98/01162		INTERNATIONAL FILING DATE 22 April 1998		PRIORITY DATE CLAIMED 16 May 1997
TITLE OF INVENTION Method and Installation for Producing Hot Rolled Aluminium Tape Intended for Can Making				
APPLICANT(S) FOR DO/EO/US Reimar FINCK; Jürgen HIRSCH				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An UNEXECUTED oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 				
Items 11. to 16. Below concern other document(s) or information included:				
<ol style="list-style-type: none"> <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input checked="" type="checkbox"/> Other items or information (<i>specify</i>): PCT Publication Sheet, Int'l Preliminary Examination Report, PCT Request, International Search Report 				

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16 NOV 1999

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

PCT/DE98/0116

3245-704PUS

09/423911

17.[x]The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO\$840.00
 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$670.00
 No international preliminary examination fee paid to USPTO (37 CFR 1.482)
 but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00
 Neither international preliminary examination fee (37 CFR 1.482)
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$970.00
 International preliminary examination fee paid to USPTO (37 CFR 1.482)
 and all claims satisfied provisions of PCT Article 33(2)-(4)\$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$840

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months
 from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate		
Total Claims	4 - 20 =	0	x \$18.00	\$	
Independent Claims	2 - 3 =	0	x \$78.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$260.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$840	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$840	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$840	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED				\$840	
Amount to be refunded:				\$	
charged:				\$	

- a. ☒ One check in the amount of \$840 to cover the above fees is/are enclosed.
 b. ☐ Please charge my Deposit Account No. 03-2412 in the amount of \$_____ to cover the above fees. A duplicate copy of
 this sheet is enclosed.
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 03-2412. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive
 (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Thomas C. Pontani
 Cohen, Pontani, Lieberman & Pavane
 551 Fifth Avenue, Suite 1210
 New York, New York 10176

Klaus P. StoffelRegistration Number: 31,668

Tel: (212) 687-2770

003220 "T 666460"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Reimar FINCK et al.

Int'l PCT Appln. No.: PCT/DE98/01162

Int'l Filing Date: 22 April 1998

For: Method and Installation for
Producing Hot Rolled Aluminium
Tape Intended for Can Making

Check box if applicable:

☐ DUPLICATE

**GENERAL AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME**

Submit an original and a duplicate for fee processing

Assistant Commissioner for Patents

BOX PCT

Washington, DC 20231

Sir:

The Commissioner is hereby authorized to credit overpayments or charge the following fees to

Deposit Account No. 03-2412

- [X] Any filing fees required under 37 CFR §1.16.
- [X] Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- [X] The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- [X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By



Klaus P. Stoffel
Reg. No. 31,668
551 Fifth Avenue, Suite 1210
New York, New York 10176
(212) 687-2770

Dated: November 16, 1999

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LAWYERS' AND MERCHANTS' TRANSLATION BUREAU INC.

Legal, Financial, Scientific, Technical and Patent Translations

11 BROADWAY
NEW YORK, NY 10004



Certificate of Accuracy

TRANSLATION

From German into English

STATE OF NEW YORK }
COUNTY OF NEW YORK } s.s.:

On this day personally appeared before me
who, after being duly sworn, deposes and states:

Elisabeth A. Lucas

That he is a translator of the German and English languages by profes-
sion and as such connected with the LAWYERS' & MERCHANTS' TRANSLATION
BUREAU;

That he is thoroughly conversant with these languages;

That he has carefully made the attached translation ([REDACTED])
[REDACTED] from the original document
written in the German language; and

That the attached translation is a true and correct English version of such original,
to the best of his knowledge and belief.

SUBSCRIBED AND SWORN TO BEFORE ME
THIS

NOV 03 1999

Susan Tapley

Susan Tapley
Notary Public, State of New York
NO. 01TA4998804
Qualified in Queens County
Certificate filed in New York County
and Kings County
Commission Expires July 27, 2000

Elisabeth A. Lucas